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NETWORKS AND
INFORMATION
INTEGRATION

MEMORANDUM FOR COMPONENT ACQUISITION EXECUTIVES

SUBJECT: Guidance on Quarterly Reporting under 10 U.S.C. Chapter 144A, Major Automated Information System Programs

Attached is guidance regarding submission of the first Quarterly Major Automated Information System (MAIS) Reports. These reports are required under Chapter 144A of Title 10, United States Code, as enacted by Section 816 of the National Defense Authorization Act for Fiscal Year 2007 (Pub. L. No. 109-364). The first reports are due on April 30, May 30, or June 30, 2008, depending on the Defense Acquisition Executive Summary (DAES) reporting group for the program (as more fully described in Attachment 2).

We hope to eventually rely on the existing DAES report process for these Quarterly Reports and will work with OUSD(AT&L) on necessary changes to the Defense Acquisition Management Information Repository to accommodate this new reporting requirement. Therefore, MAIS programs that do not currently submit DAES Reports must start doing so.

Questions regarding quarterly reporting and any other aspect of Chapter 144A implementation should be directed to Mr. Dave Mullins at (703) 602-2585 or dave.mullins@osd.mil.

Timothy J. Harp
DASD(C3ISR & IT Acquisition)

Attachments:
As stated

cc:
NII OIPT members
Director, Acquisition Resources and Analysis (OUSD(AT&L))



CHAPTER 144A MAIS QUARTERLY REPORT PROCESS

This guidance implements the Quarterly Reports required by Chapter 144A of Title 10, United States Code (as enacted by Section 816 of the National Defense Authorization Act for Fiscal Year 2007, P.L. 109-364, hereafter referred to as “the statute”). The statute requires the Program Manager (PM) of a MAIS program to submit a written Chapter 144A Quarterly Report (hereafter referred to as the “Quarterly Report”) to the senior DoD official responsible for the MAIS (hereafter referred to as the “Senior Official”) identifying any variance from the acquisition schedule, life-cycle costs, or key performance parameters as baselined in the MAIS Annual Report (MAR).

1. Quarterly Report Due Dates and Schedule

- a. All MAIS programs, once having submitted a MAR, will submit Quarterly Reports even if they have not experienced any variance from their cost, schedule or performance baseline.
- b. The first Quarterly Reports will come due during the second calendar-year (third fiscal-year) quarter of 2008 on a staggered monthly basis. For those MAIS programs that are in Defense Acquisition Executive Summary (DAES) Group A, Quarterly Reports are due to the Senior Official by April 30, 2008. The first Quarterly Reports for MAIS programs in DAES Groups B and C are due by May 30, 2008 and June 30, 2008, respectively. Subsequent Quarterly Reports continue to be due on the last business day of every third month, maintaining the DAES Group reporting rotation.
- c. The second attachment identifies MAIS programs as of December 31, 2007. It also identifies the DAES Group to which each MAIS program belongs, and assigns MAIS programs not previously assigned to a DAES Group for reporting purposes. As they begin quarterly reporting, these programs must also start submitting DAES reports via the Defense Acquisition Management Information Retrieval (DAMIR) system.
- d. Each Quarterly Report will bear the same date as its DAES.

2. Quarterly Report Format

For simplicity, the completed MAR should be adapted to create the Quarterly Report. The adaptation steps are as follows:

- a. Cover page: Change “MAIS Annual Report” to “MAIS Quarterly Report” and change the “as-of” date to the date of the concurrent DAES.
- b. Program Information, Points of Contact, or Program Description: Make any corrections or updates.
- c. Program Status: This section will be used by the Program Manager to identify variances to the Senior Official. Replace the MAR’s standard paragraph with a statement similar to

the following: “The following pages reflect the current or actual estimates for cost, schedule and performance as of April xx, 2008. None of the variances from the original estimates meet the Chapter 144A definition of either a significant or a critical change.” Or, if any of the reported variances does represent a significant or critical change, the second sentence should be changed to so indicate, and continue with a useful explanation.

- d. Schedule, Performance and Cost: The “Current Estimate or Actual” columns for each of these factors should be updated to reflect the estimate on the as-of-date of the Quarterly Report.

3. Senior Officials

The senior Department of Defense official responsible for a MAIS program is:

- a. The Service Acquisition Executive (SAE) for a MAIS acquired by a Military Department (Army, Navy or Air Force).
- b. The Assistant Secretary of Defense for Networks and Information Integration (ASD(NII)) for a MAIS acquired by a DoD Component other than a Military Department, and not including MAIS for which the Under Secretary of Defense for Acquisition, Technology, and Logistics (USD(AT&L)) has retained direct authority. (Note: The USD(AT&L) made this Senior Official delegation to the ASD(NII) in a memorandum dated July 18, 2007.)
- c. The USD(AT&L) for a MAIS acquired by a DoD Component other than a Military Department and direct authority (i.e., Milestone Decision Authority) has been retained by the USD(AT&L).
- d. The list of “MAIS Programs as of December 31, 2007” identifies the Senior Official for each MAIS program required to submit a MAR and subsequent Quarterly Reports.

4. Mode of Submitting Quarterly Reports

- a. Program Managers of MAIS programs for which the Senior Official is an SAE should follow the instructions of their Senior Official for submitting Quarterly Reports, and should also send a copy via email to C3ISR_IT_ACQUISITION@OSD.MIL.
- b. Program Managers of MAIS programs for which the ASD(NII) or the USD(AT&L) is the Senior Official shall, after appropriate internal Component coordination, submit their Quarterly Reports via email to C3ISR_IT_ACQUISITION@OSD.MIL.
- c. Through this email address, the OASD(NII) acquisition staff will forward copies of Quarterly Reports to the appropriate OSD Oversight Official and monitor the proper implementation of Chapter 144A.

**MAIS Programs as of December 31, 2007
(w. Senior Officials and MAR Action Officers)**

Acq Lead	Program	Acronym	ACAT	MDA	Senior Official	NII/BTA AOs	DAES Qtrly Report Group
Air Force	Battle Control System - Fixed	BCS-F	IAC	SAE	SAE	Marc Turner	B
Air Force	Battle Control System - Mobile	BCS-M	IAC	SAE	SAE	Marc Turner	B
Air Force	Combat Information Transport System	CITS	IAC	SAE	SAE	William May	C
Air Force	Combatant Commander Integrated Command and Control System	CCIC2S	IAC	SAE	SAE	Leo Milanowski	C
Air Force	Integrated Strategic Planning and Analysis Network	ISPAN	IAM	NII	SAE	Leo Milanowski	A
Air Force	Mission Planning System	MPS	ID	AT&L	SAE	Pat Herring	C
Air Force	Theater Deployable Communications	TDC	IAC	SAE	SAE	William May	C
Army	Global Command and Control System - Army	GCCS-A	IAC	SAE	SAE	Marc Turner	B
Army	Logistics Modernization Program	LMP	IAM	AT&L*	SAE	Arthur Holland	A
Army	Maneuver Control System	MCS	IAC	SAE	SAE	Marc Turner	B
Army	Mounted Battle Command on the Move	MBCOTM	IAC	SAE	SAE	Ken Flowers	C
BTA	Defense Integrated Military Human Resources System	DIMHRS	IC	CAE*	AT&L	Doug Seabright	A
BTA	Defense Travel System	DTS	IAC	CAE	NII	Doug Seabright	B
DISA	Global Combat Support System - COCOM/JTF	GCSS-CC/JTF	IAC	CAE	NII	Marc Turner	C
DISA	Global Command and Control System - Joint	GCCS-J	IAM	NII	NII	Marc Turner	B
DISA	Net-Centric Enterprise Services	NCES	IAM	NII	NII	Gabe Leyva	C
DISA	Teleport Generation I / II	TELEPORT	IAM	NII	NII	Ken Flowers	A
Navy	Deployable Joint Command and Control System	DJC2	IAM	NII	SAE	Marc Turner	B
Navy	Common Aviation Command and Control System	CAC2S	IAC	SAE	SAE	Pat Herring	B
Navy	Global Combat Support System - Marine Corps	GCSS-MC	IAM	AT&L*	SAE	Arthur Holland	C
Navy	Global Command and Control System - Maritime	GCCS-M	IAC	SAE	SAE	Marc Turner	B
Navy	Navy Enterprise Resource Planning	NAVY ERP	IAM	AT&L*	SAE	Arthur Holland	C
NSA	Key Management Infrastructure	KMI	IAM	NII	NII	Leo Milanowski	A
NSA	Public Key Infrastructure	PKI	IAM	NII	NII	Leo Milanowski	A
TMA	Armed Forces Health Longitudinal Technology Application	AHLTA	IAM	NII	NII	William May	A
TMA	Theater Medical Information Program	TMIP	IAM	NII	NII	William May	A
USD(AT&L)	High Performance Computer Modernization	HPCM	ID	AT&L	AT&L	Leo Milanowski	A
* Designated by USD(AT&L) as an Enterprise Risk Assessment Methodology (ERAM) program							
# Group A reports due end of Jan, Apr, Jul, and Oct							
# Group B reports due end of Feb, May, Aug, and Nov							
# Group C reports due end of Mar, Jun, Sep, and Dec							