



Acquisition Resource Data Transparency of MAIS Programs

Presented to:
MAIS Annual Report Train the Component Trainer
November 14th, 2012



Acquisition Resource Data Transparency

What is it and Who Cares?

TRANSPARENCY DEFINED

“Budget transparency is achieved in DoD databases when all funding that contributes to a baselined acquisition is associated with the appropriate Program Number (PNO) and sharing of budget line items and sub-line items is minimized (intermingling MDAP/MAIS budgets with non-program budgets). Transparency is achieved in the President’s Budget when MDAP and MAIS program budgets are clearly identified and distinguished from funding for other activities.”

- USD(C)-USD(AT&L) Joint Memo on MDAP and MAIS Program Resource Transparency in DoD Budget Systems, April 24, 2012

WHY THE FOCUS ON TRANSPARENCY OF MAJOR ACQUISITION PROGRAM BUDGETS

- Provides a consistent message to external audiences... e.g., MAR vs. PB
- Provides proper accounting of taxpayer dollars... vs. burying program funding in larger lines
- Contributes to better decision making in POM/BES/PBR... e.g., is MAIS fully-funded?
- Enhances affordability and budget execution assessments
- Enhances ability to conduct long-range studies of MAIS programs



Acquisition Resource Data Transparency

What Makes a Program Budget Transparent?

TESTS

- All streams of funding programmed for a MAIS program (consistent with its MAR) are associated with the correct PNO in the Comptroller's PRCP and Services' budget systems--RFS, PBIS, ABIDES.
- Any program that has initiated or achieved Milestone A since January 2011 is assigned one or more dedicated RDT&E PEs, not shared with other programs/efforts (per FY12 PBR RMD 700A1).
- RDT&E for any other program is budgeted in one or more dedicated projects within PEs, not shared with funding for other efforts .
- As much as is practicable, procurement funding for a particular program is not intermingled in a budget line item (P-1 line) with funding for other MAIS programs or efforts; when a line is shared, the program's budget is clearly identified in the J-Book Exhibits (e.g., P-40, P-5).



Acquisition Resource Data Transparency

Example: AOC-WS Inc 10.2 (PNO N42)

Track to Budget for AOC-WS Inc 10.2 (PNO: N42)
from POM 2014

Appropriation and Quantity Summary

POM 2014 (AUG 2012) (TY \$M)

RDT&E			Appropriation	Prior	FY2013	FY2014	FY2015	FY2016	FY2017	FY2018	To Complete	Total
APPN 3600	BA 07	PE 0207410F	RDT&E	0.0	0.0	58.9	58.9	0.0	0.0	0.0	0.0	117.8
			Procurement	0.0	0.0	5.4	12.0	54.0	57.2	0.0	0.0	128.6
	Project AOC	AOC-WS Inc 10.2	MILCON	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
			Acq O&M	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Procurement			POM 2014 Total	0.0	0.0	64.3	70.9	54.0	57.2	0.0	0.0	246.4
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APPN 3080 BA 03 PE 0207410F
ICN 35 AOC-WS Inc 10.2

Source: DAMIR DAES 8/30/2012

Total of Displayed Rows: 25,641 34,066 34,830 17,082 17,912 18,235

PNO	APPN	BA	PE	Program Element Title	RDT&E Project	RDT&E Project Title	2013 Amount	2014 Amount	2015 Amount	2016 Amount	2017 Amount	2018 Amount
3600F	07	0207410F	Air & Space Operations Center (AOC)	675218	Application Development		18,547	24,552	24,971	7,224	7,571	7,708
3600F	07	0207410F	Air & Space Operations Center (AOC)	675220	Unit Level Development		7,094	7,318	7,413	7,396	7,882	8,024
3600F	07	0207410F	Air & Space Operations Center (AOC)	675221	Personnel Recovery Cmd & Cntrl (PRC2)		0	2,196	2,446	2,462	2,459	2,503

Total of Displayed Rows: 43,272 37,427 35,622 79,563 79,560 75,493

PNO	APPN	BA	Line Item	Line Item Title	PE	Program Element Title	2013 Amount	2014 Amount	2015 Amount	2016 Amount	2017 Amount	2018 Amount
393	3080F	03	834520	Theater Battle Mgt C2 System	0207410F	Air & Space Operations Center (AOC)	9,365	9,273	9,373	9,571	9,883	10,061
	3080F	03	834530	Air & Space Operations Ctr-WPN SYS	0207410F	Air & Space Operations Center (AOC)	2,100	2,100	2,100	2,100	2,100	2,138
	3080F	03	834530	Air & Space Operations Ctr-WPN SYS	0207410F	Air & Space Operations Center (AOC)	31,807	26,054	24,149	67,892	67,577	63,294

Name	Appn	BA	PE	ICN / Project	Correction for Transparency Issues
AOC-WS Inc 10.2	3080F	3	0207410F	834530	The "AOC-WS" line item funds AOC-WS Inc 10.2, 3DLRR and other efforts. Associate PNO N42 with the portion of funding in this PE/ICN that supports Inc 10.2
AOC-WS Inc 10.2	3600F	7	0207410F	?	The POM RDT&E funding reported in DAMIR for AOC-WS Inc 10.2 exceeds the amount of funding in this PE. Does another PE fund the program as well? Resolve this disconnect and associate PNO N42 with the portion of funding that supports the program.



Acquisition Resource Data Transparency

Example: BCF Inc 3 (PNO N32)

Track to Budget for BCS-F Inc 3 (PNO: N32)
from POM 2014

Appropriation and Quantity Summary

POM 2014 (AUG 2012) (TY \$M)

RDT&E			Appropriation	Prior	FY2013	FY2014	FY2015	FY2016	FY2017	FY2018	To Complete	Total
APPN 3600	BA 06	PE 0102326F	RDT&E	176.7	5.2	1.7	1.5	0.0	0.0	0.0	0.0	185.1
			Procurement	59.0	7.5	8.5	0.6	0.7	3.0	3.0	0.0	82.3
	Project 4592	BCS-F inc 3	MILCON	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
			Acq O&M	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Procurement			POM 2014 Total	235.7	12.7	10.2	2.1	0.7	3.0	3.0	0.0	267.4
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APPN 3080 BA 03 PE 0102326F
ICN 20 BCS-F inc 3

Source: DAMIR DAES 8/23/2012

Total of Displayed Rows: 5,609 1,700 1,500 0 0 0

PNO	APPN	BA	PE	Program Element Title	RDT&E Project	RDT&E Project Title	2013 Amount	2014 Amount	2015 Amount	2016 Amount	2017 Amount	2018 Amount
3600F	07	0102326F		Region/Sector Operation Control Center I	674592	R/SAOC Modernization	5,609	1,700	1,500	0	0	0

Total of Displayed Rows: 17,368 19,248 1,634 1,709 3,991 4,063

PNO	APPN	BA	Line Item	Line Item Title	PE	Program Element Title	2013 Amount	2014 Amount	2015 Amount	2016 Amount	2017 Amount	2018 Amount
N32	3080F	03	833030	Battle Control System - Fixed	0102326F	Region/Sector Operation Control Center I	0	8,454	641	698	2,962	3,015
	3080F	03	833030	Battle Control System - Fixed	0102326F	Region/Sector Operation Control Center I	17,368	10,794	993	1,011	1,029	1,048

Name	Appn	BA	PE	ICN / Project	Correction for Transparency Issues
BCS-F Inc 3	3080F	3	0102326F	833030	A portion of the "BCS-F" line item funds Inc 3 but the PNO coding is correct.
BCS-F Inc 3	3600F	6	0102326F	674592	Associate PNO N32 with this Project.



Acquisition Resource Data Transparency

Example: DCGS-N Inc 1 & Inc 2 (PNOs N40 & 464)

Track to Budget for DCGS-N Inc 1 (PNO: N40)
from POM 2014

Cost & Funding for DCGS-N Inc 1 (PNO: N40)

POM 2014 (AUG 2012) (TY \$M)

RDT&E			Appropriation	Prior	FY2013	FY2014	FY2015	FY2016	FY2017	FY2018	To Complete	Total
APPN 1319	BA 07	PE 0305208N	RDT&E	112.5	7.2	1.7	0.0	0.0	0.0	0.0	0.0	121.4
			Procurement	142.4	11.9	17.5	10.8	8.3	5.2	4.6	75.1	275.8
	Project X2174		MILCON	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	DCGS-N Increment 1 budget only.		Acq O&M	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
POM 2014 Total				254.9	19.1	19.2	10.8	8.3	5.2	4.6	75.1	397.2
Procurement				--	--	--	--	--	--	--	--	--

Cost & Funding for DCGS-N Inc 2 (PNO: 464)
from POM 2014

APPN 1810 BA 02 PE 0305208N

There are no Cost & Funding data to display.

DCGS-N Increment 1 budget only.

Total of Displayed Rows:

PNO	APPN	BA	PE	Program Element Title	RDT&E Project	RDT&E Project Title	2013 Amount	2014 Amount	2015 Amount	2016 Amount	2017 Amount	2018 Amount
1319N	07	0305208N		Distributed Common Ground/Surface Sys	2174	Distributed Common Ground System-Nav	14,676	20,025	19,687	22,754	22,375	22,970

Total of Displayed Rows:

PNO	APPN	BA	Line Item	Line Item Title	PE	Program Element Title	2013 Amount	2014 Amount	2015 Amount	2016 Amount	2017 Amount	2018 Amount
1810N	02	2914		DCGS-N	0305208N	Distributed Common Ground/Surface Sys	11,887	17,479	24,510	33,608	34,674	21,737
1810N	08	9020		Spares and Repair Parts	0305208N	Distributed Common Ground/Surface Sys	178	1,853	2,584	960	827	323

Name	Appn	BA	PE	ICN / Project	Correction for Transparency Issues
DCGS-N Inc 1	1319N	7	0305208N	2174	This project funds DCGS-N Inc 1 and Inc2. Each increment should have a dedicated RDT&E project. Associate the project for Inc 1 with PNO N40.
DCGS-N Inc 1	1810N	2	0305208N	2914	This PE/ICN "DCGS-N" funds DCGS-N Inc 1 and Inc 2. Associate PNO N40 with the portion of funding that supports Inc 1.
DCGS-N Inc 2	1319N	7	0305208N	2174	This project funds DCGS-N Inc 1 and Inc2. Each increment should have a dedicated RDT&E project. Associate the project for Inc 2 with PNO 464.
DCGS-N Inc 2	1810N	2	0305208N	2914	This PE/ICN "DCGS-N" funds DCGS-N Inc 1 and Inc 2. Associate PNO 464 with the portion of funding that supports Inc 2.



Acquisition Resource Data Transparency

How Will We Resolve Transparency Issues?

IDENTIFYING AND RESOLVING TRANSPARENCY ISSUES – TEAM EFFORT

- AT&L/ARA compared FY14 POM data in PRCP with MDAP & MAIS submissions in DAMIR
 - **Green** – Funding in Procurement PE/ICN or RDT&E PE/Project coded with PNO matches amount reported in DAMIR
 - **Yellow** – Incorrect portion of funding in Procurement PE/ICN or RDT&E PE/Project coded associated with PNO code
 - **Red** – PE/ICN or RDT&E PE/Project not associated with PNO code, or associated with PNO when it should not
 - Are new programs funded in **dedicated** RDT&E PEs? Do older programs at least have **dedicated** RDT&E projects?
- **Red** items that do not involve shared budget lines are fairly easy to fix by Budget Offices
- **Yellow** items usually require assistance from Program Management Offices personnel who should know exactly which portions of particular line items “count” against the MDAP/MAIS
- Service Acquisition Executive Data Integration & Acquisition Reporting Offices: Interface with AT&L, and program offices and Service Budget Offices
- Service Budget Offices can fix PNO coding or request new P-1 Line Items (ICNs)
- Service 8-shops (G8, A8, N8): can request new PEs; generate new projects; implement ZBTs
- Resolving joint program issues requires lead SAE office to forward required changes to other Service; e.g., AIM-9X BLK II, AMRAAM, F-35, GBS, JPATS, JTRS programs, NAS, SDB-II, V-22



Acquisition Resource Data Transparency *Schedule*

SCHEDULE FOR RESOLVING TRANSPARENCY ISSUES

- October 15 – AT&L/ARA data analysis submitted to components
- October 24 – MDAP Issue Team meeting on MDAP/MAIS Resource Transparency
- November 1 – Component Reclamas due to AT&L/ARA and OSD(C)
- December ?? – OSD(C) PRCP open for administrative changes (PNO changes only)-**Possibly**
- January ?? – PRCP open for RMD changes (and PNO changes, new PEs/Projects, P-1 line items)